## Experimental review of neutrinos masses and oscillation

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INTER FOR HIGH ENERGIE (ULB-VUB)

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KUL, Leuven, December 5, 2002



#### The Nobel Prize in Physics 2002

"for pioneering contributions to astrophysics, "for pioneering in particular for the detection of cosmic neutrinos"

contributions to astrophysics, which have led to the discovery of cosmic X-ray sources"

"for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos"



**Raymond Davis Jr.** 1/4 of the prize USA Japan University of Pennsylvania University of Tokyo Philadelphia, PA, USA

b. 1914

Masatoshi Koshiba **Riccardo** Giacconi 1/4 of the prize 1/2 of the prize USA Associated Universities Inc. Tokyo, Japan "schington, DC, USA b. 15.



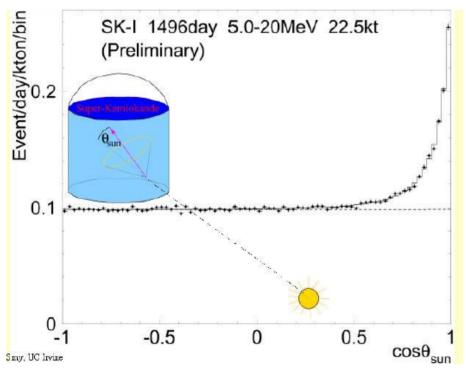
(in Geno. "aly)

1968: First detection of solar neutrinos in Homestake mine Proof of the solar nuclear fusion model of Bethe and Fowler. 30 years of solar neutrinos data taking.

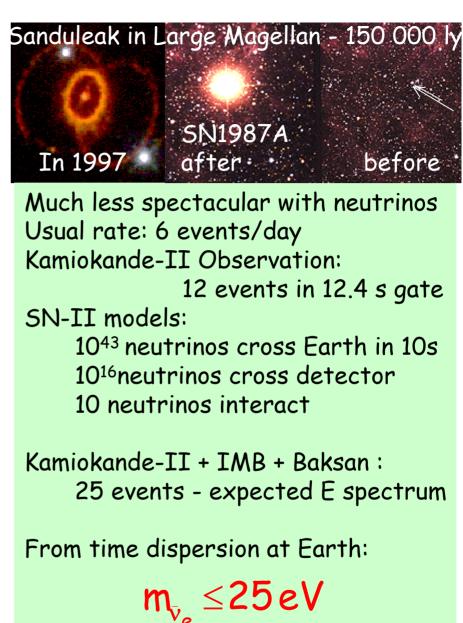
Ъ. 1926

Confirmation that solar neutrinos ... really come from the Sun with Kamiokande-II Observation of SN1987a (somehow by chance...and not alone: Fred Reines group with IMB, Ohio **Baksan detector in Oural**) Proof of the Super Nova-type II collapse model and beginning of neutrino astronomy

Latest Super-Kamiokande plot: correlation between the directions of the Sun and the electrons emitted in solar neutrinos interactions



# Yet, I shall not speak of neutrino astronomy



much larger  $\,\overline{\nu}_{e}\,\,+\,\,n\,\,\rightarrow e^{-}+p\,\,\,cross-section$ 

## Contents of my talk

- 1-Neutrino mixing and effective masses
- 2-Direct and double-beta decay effective mass limits
- 3-Neutrino oscillation in vacuum and matter effects
- 4-Principle of oscillation experiment design
- 5-The main recent negative oscillation searches
- 6-The solar neutrinos oscillation signal \*\*\*
- 7-The atmospheric neutrinos oscillation signal \*\*
- 8-The beam dump neutrinos oscillation signal \*
- 9-Summary on one slide
- 10-The home work for the next twenty years

## Neutrino mixing

- Measurements of neutrino intrinsic properties are obtained with  $v_\ell$  or  $\overline{v}_\ell$ of definite flavour  $\ell = e, \mu, \tau$  produced by CC with lepton  $\ell^{\pm}$
- If neutrinos are massive, a priori mixing

 $\begin{array}{ll} v_{\ell} & \ell = e, \mu, \tau & \text{family eigenstates} \\ v_{k} & k = 1, 3 & \text{mass eigenstates} \\ \begin{pmatrix} v_{e} \\ v_{\mu} \\ v_{\tau} \end{pmatrix} = U \begin{pmatrix} v_{1} \\ v_{2} \\ v_{3} \end{pmatrix} & v_{\ell} = \sum_{k=1,}^{3} U_{\ell k} v_{k} \\ \sum_{k=1,}^{3} |U_{\ell k}|^{2} = 1 \end{array} \right\} \quad \ell = e, \mu, \tau \qquad \begin{array}{l} 4 \ (6) \ \text{parameters} & 3 \ \text{mixing angles} \\ 1 \ (3) \ \text{phases} \end{array}$ 

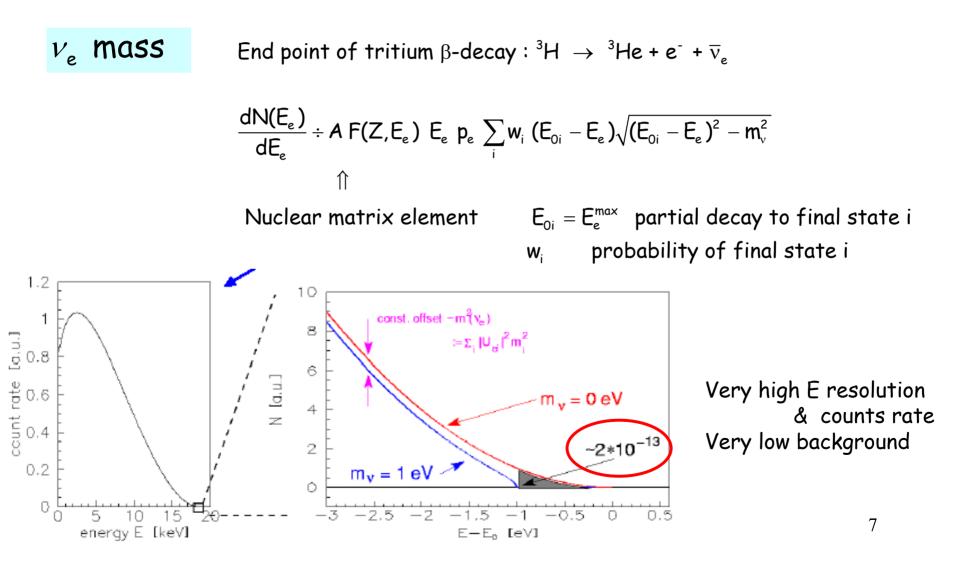
 Extension to ≥ 4 mass eigenstates straightforward but additionnal flavour eigenstates are sterile : N(active neutrino with m<M<sub>z</sub>/2)= 2.994 ± 0.012 from Z<sup>o</sup> invisible width at LEP

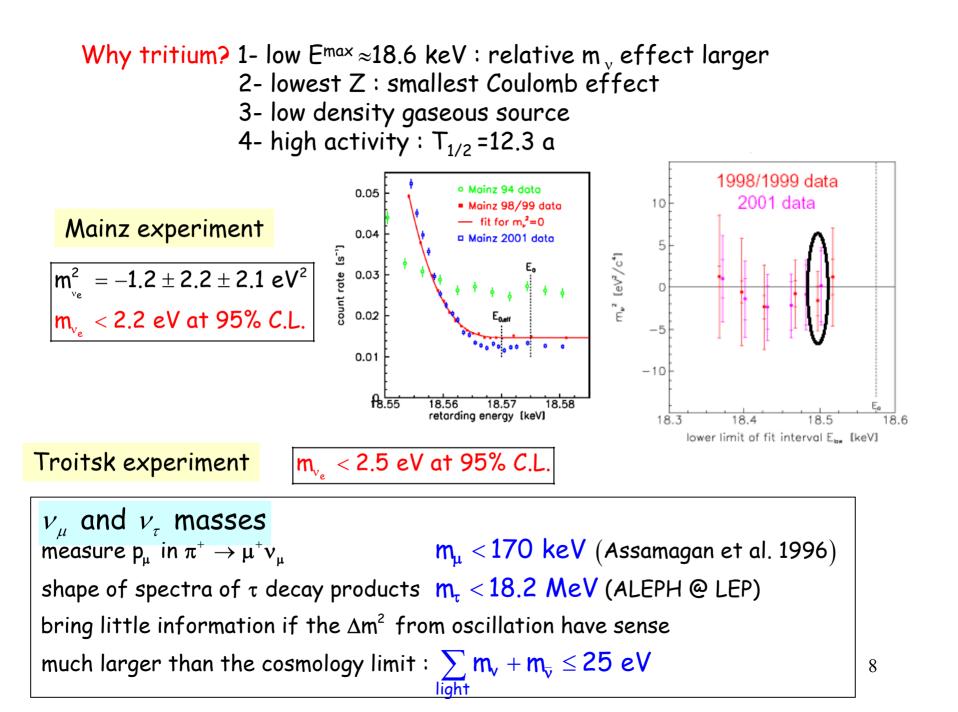
- In contrast to quarks (small off-diagonal CKM matrix terms): two oscillation results point to large or maximal mixing
- $\Rightarrow \qquad \text{no dominant } \mathbf{v}_{\mathsf{k}} \ \leftrightarrow \ \mathbf{v}_{\ell} \ \text{association}$
- Effective measurement of  $\mathbf{v}_{\ell}$  mass (and intrinsic properties)

$$\begin{split} m_{v_{\ell}}^{2\,\text{eff}} &= \sum_{k=1,}^{3} \left| U_{\ell k} \right|^{2} m_{v_{k}}^{2} \\ m_{v_{k}} &\leq m_{v_{\ell}}^{\text{eff}} \qquad k = 1,3 \end{split}$$

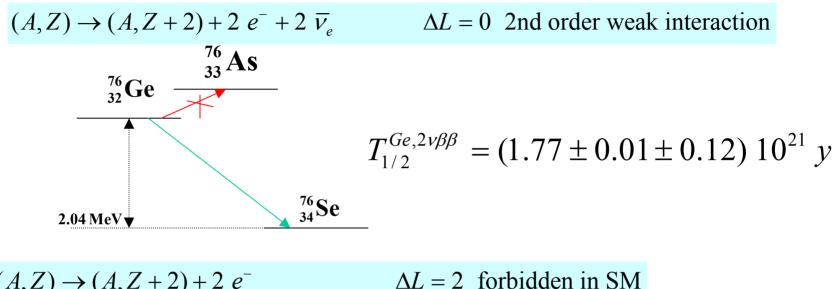
## Direct effective neutrino mass measurements

Measure shape of spectra of decay products in which n is emitted





## Effective neutrino mass from neutrino-less $\beta\beta$ -decay



 $(A,Z) \rightarrow (A,Z+2) + 2 e^{-1}$ 

•  $\overline{v}_e$  emitted at vertex 1

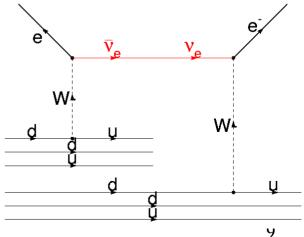
absorbed as  $v_{\rm e}$  at vertex 2

 $\Rightarrow \overline{v}_e \equiv v_e \Rightarrow Majorana$  neutrino

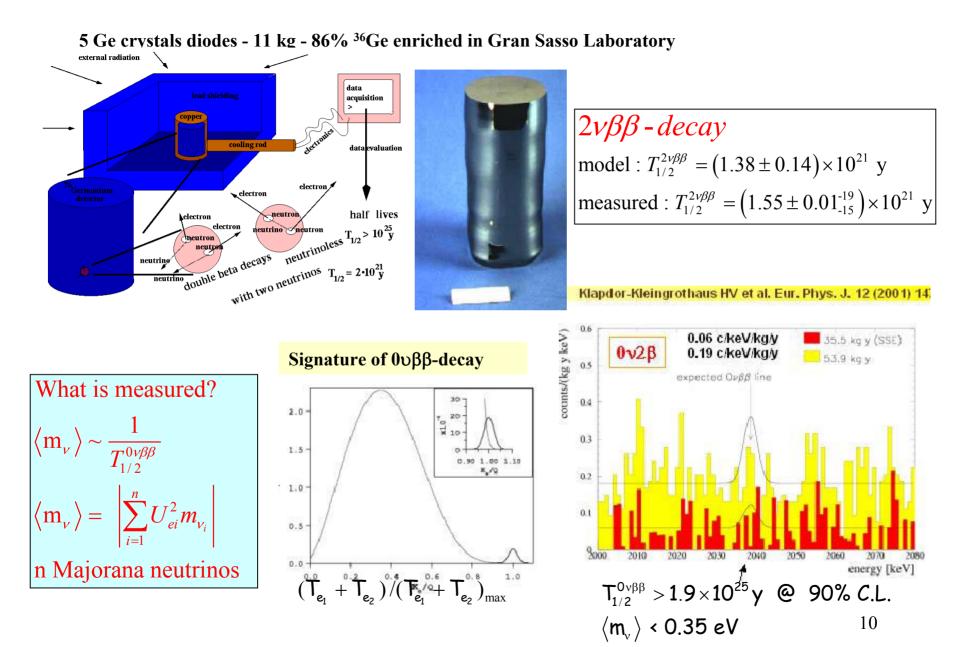
• V-A interaction at each vertex

 $\Rightarrow$  spin flip  $\Rightarrow$  massive neutrino

• Limits on small admixture of right handed current



## Example of an active source experiment: Moscow-Heidelberg experiment



Current limits	Active targets		
Experiment	Isotope	T <sub>12</sub> <sup>0v</sup> (y)	<m,> (eV)</m,>
You Ke et al. 1998	48Ca	> 9.5 × 1021 (76%)	< 8.3
Klapdor-Kleingrothaus 2001	76Ge	> 1.9 × 10 <sup>25</sup>	< 0.35
Aalseth et al 2002		> 1.57 × 1025	< 0.33 - 1.35
Elliott et al. 1992	82Se	> 2.7 × 1022 (68%)	< 5
Ejiri et al. 2001	<sup>100</sup> Mo	> 5.5 x 10 <sup>22</sup>	< 2.1
Danevich et al. 2000	116Cd	> 7 × 1022	< 2.6
Bernatowicz et al. 1993	<sup>130/128</sup> Te*	$(3.52 \pm 0.11) \times 10^{-4}$	< 1.1 - 1.5
Bernatowicz et al. 1993	128 <b>Te</b> *	> 7.7 × 10 <sup>24</sup>	< 1.1 - 1.5
Mi DBD - v 2002	<sup>130</sup> Te	> 2.1 × 10 <sup>23</sup>	< 0.85 - 2.1
Luescher et al. 1998	136Xe	> 4.4 × 10 <sup>23</sup>	< 1.8 - 5.2
Belli et al. 2001	136Xe	> 7 × 10 <sup>23</sup>	< 1.4 - 4.1
De Silva et al. 1997	<sup>150</sup> Nd	> 1.2 × 10 <sup>21</sup>	< 3
Danevich et al. 2001	160Gd	> 1.3 x 10 <sup>21</sup>	< 26

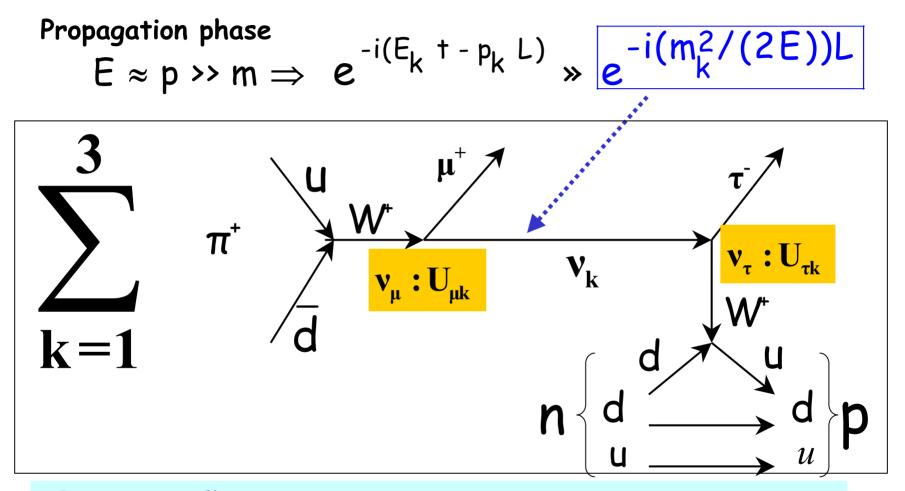
 $\left< m_{\nu} \right> < \sim 1 \text{ eV}$ 

Claim (2001 reanalysis of Moscow-Heidelberg data) for a 2.2-3.1 sigma signal in <sup>76</sup>Ge < m, >= 0.39<sup>+17</sup><sub>-28</sub> eV

Wait for confirmation and see ...

. . .

## Neutrino oscillation in vacuum



IF: Mass – flavour eigenstates mixing Non-degenerated mass matrix – some masses > 0 Small mass differences for coherent propagation over long L 12 Oscillation probability (in practical units)

$$\begin{split} P(v_{\ell}(L=0) \rightarrow v_{\ell'}(L)) &= \delta_{\ell\ell'} - \\ &-4\sum_{k'>k}^{1,3} \underbrace{\Re\left(U_{\ell'k'}^{*}U_{\ell'k}U_{\ell k}U_{\ell k'}U_{\ell k'}^{*}\right)}_{\substack{\text{Mixings define}\\ \text{Maximum}\\ \text{probability}}} \underbrace{\sin^{2}1.27 \frac{\Delta m_{kk'}^{2}[eV^{2}]L[km]}{E[GeV]}}_{L/E \text{ Oscillation term}} \\ &+2\sum_{k'>k}^{1,3} \Im\left(U_{\ell'k'}^{*}U_{\ell'k}U_{\ell k'}U_{\ell k'}^{*}\right) \\ \sin 2.54 \frac{\Delta m_{kk'}^{2}[eV^{2}]L[km]}{E[GeV]} \\ \Delta m_{kk'}^{2} &= m_{k}^{2} - m_{k'}^{2}. \end{split}$$

6 parameters : 3 mixing angles , 1 CP violation phase, 2  $\Delta m_{kk'}^2$ 

- not sensitive to two additional Majorana CP violation phases
- cannot distinguish Dirac Majorana
- if  $CPT : P(\overline{v}_{\ell} \to \overline{v}_{\ell'}; U) = P(v_{\ell'} \to v_{\ell}; U) = P(v_{\ell} \to v_{\ell'}; U^*)$  <sup>13</sup>

One mixing negligible : effective 2-family approximation

**e.g.** 
$$v_{\tau} \approx v_{3}$$
  $U \approx \begin{pmatrix} \cos \theta_{e\mu} & \sin \theta_{e\mu} \\ -\sin \theta_{e\mu} & \cos \theta_{e\mu} \end{pmatrix}$  1 mixing angle, no phase  
 $P(v_{e} \rightarrow v_{\mu}) = \sin^{2} 2\theta_{e\mu} \quad \sin^{2}(1.27 \quad \frac{\Delta m_{12}^{2} L}{F})$ 

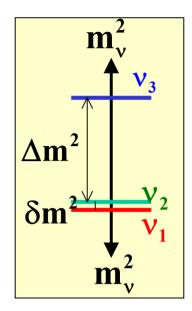
## All mixings small : effective 2-family approximation

all 
$$v_{l} \approx v_{k}$$
  
 $U \approx \begin{pmatrix} 1 & \theta_{e\mu} & \theta_{e\tau} \\ -\theta_{e\mu} & 1 & \theta_{\mu\tau} \\ -\theta_{e\tau} & -\theta_{\mu\tau} & 1 \end{pmatrix}$   
 $P(v_{l} \rightarrow v_{l'\neq l}) = (2\theta_{ll'}) \quad sin^{2}(1.27 \quad \frac{\Delta m_{kk'}^{2} L}{E})$ 

If strong mass hierarchy : effective 2-family approximation

if 
$$[m_3 \gg m_1, m_2]$$
 like quarks and charged leptons  
 $\Delta m^2 = m_3^2 - m_1^2 \approx m_3^2 - m_2^2$   
 $\delta m^2 = m_2^2 - m_1^2$   
 $\Delta m^2 \gg \delta m^2$ 

L/E region where  $\Delta m^2 L/E$  causes oscillation and  $\delta m^2 L/E ~\approx 0$ 

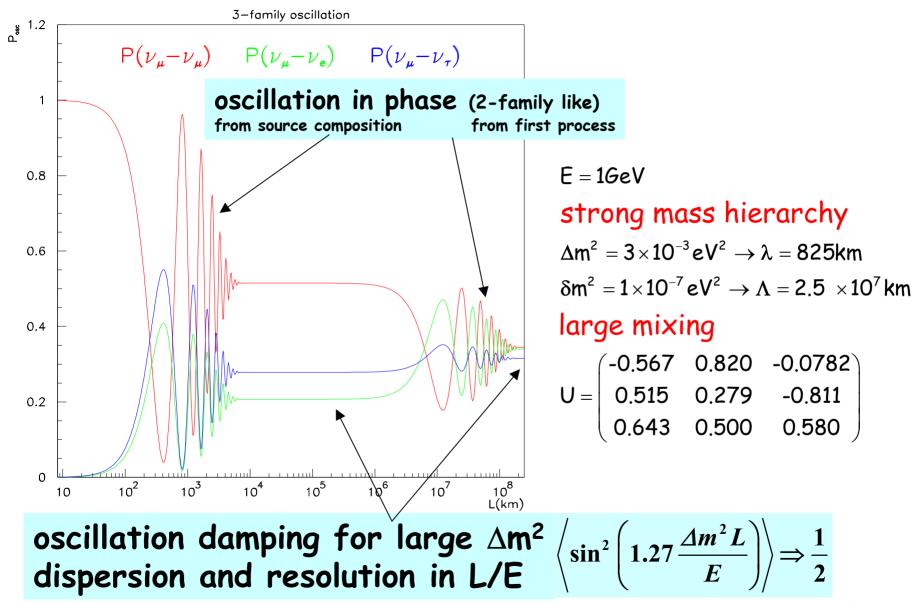


$$P(v_{|} \rightarrow v_{|'\neq|}) \approx \sin^{2} 2\theta_{||'}^{eff} \sin^{2}(1.27\Delta m^{2}L/E)$$
  

$$\sin^{2} 2\theta_{||'}^{eff} = 4 |U_{|3}^{*}U_{|'3}|^{2}$$
  

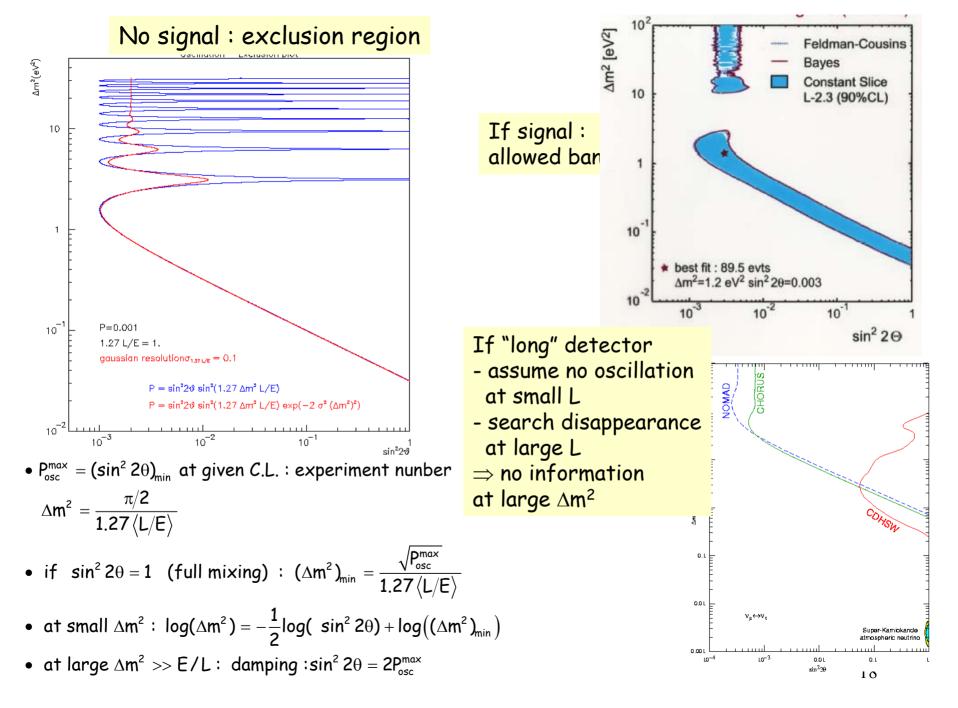
$$P(v_{|} \rightarrow v_{|}) = 1 - 4 |U_{|3}|^{2} (1 - |U_{|3}|^{2}) \sin^{2}(1.27\Delta m^{2}L/E)$$

Physics governed by: •  $\Delta m^2$ • family composition of  $v_3$  only Example of 2-family approximation: large mixing and strong mass hierarchy



$$\begin{split} \text{Two-flavour oscillation in vacuum, e.g.} \\ \text{P}(v_e \rightarrow v_{\mu}) &= \sin^2 2\theta_{e\mu} \quad \sin^2(1.27 \quad \frac{\Delta m_{12}^2 \text{ L}}{\text{E}}) \\ &\bullet \text{ take } m_2^2 > m_1^2 \text{ by convention} \end{split}$$

• cannot distinguish  $\theta_{e\mu}$  from  $\pi/2 - \theta_{e\mu}$  : 2 mixing solutions



## Matter effect on neutrino oscillations

• Propagation phase in matter for weakly interacting particles

$$\begin{split} e^{ipx}e^{-iEt} \Rightarrow e^{i\mathbf{n}px}e^{-iEt} & n = 1 + 2\pi\rho f(0)/E \quad \text{with } \begin{cases} \rho \text{ = matter density} \\ f(0) \text{ = forward diffusion amplitude} \end{cases} \\ E_v = 1\text{MeV}: & 0 < \mid n-1 \mid = 6.10^{-19} \frac{Z}{A}\rho \left[ g \text{ cm}^{-3} \right] \ll 1 \end{split}$$

•  $v_e, v_\mu, v_\tau, v_s$  have different interactions thus  $\mathbf{n}_{e,\mu,\tau,s}$  :

$$\begin{array}{ll} \nu_{e,\mu,\tau} + e^{-}, q \rightarrow \nu_{e,\mu,\tau} + e^{-}, q \quad (NC) \\ \nu_{e} + e^{-} \rightarrow e^{-} \nu_{e} & (CC) \\ \nu_{s} & \text{no interaction} \end{array}$$

• Mass eigenstates have different family eigenstates composition  $\Rightarrow$ Coherence of propagation affected by electron density  $\rho_e(r)$  in matter

• 
$$\begin{pmatrix} H_{mat}^{ee} & H_{mat}^{\mu e} \\ H_{mat}^{e\mu} & H_{mat}^{\mu\mu} \end{pmatrix}$$
  $(r) = \begin{pmatrix} E_1 \cos^2 \theta + E_2 \sin^2 \theta + V(r) & (E_1 - E_2) \sin \theta \cos \theta \\ (E_1 - E_2) \sin \theta \cos \theta & E_1 \sin^2 \theta + E_2 \cos^2 \theta \end{pmatrix}$   
 $v_e$  CC interactions:  $V(r) = \sqrt{2} G_F \rho_e(r) > 0 \Rightarrow$  matter effects resolve  $\theta$  from  $\pi/2 - \theta$   
 $\sin^2 2\theta \Rightarrow \tan^2 \theta$ 

## Slow variation of $\rho_e$ : example of the Sun

• at  $r \approx 0$ :  $\rho_e \approx 10^{26} \text{ cm}^{-3}$   $\Rightarrow V \ll \text{typical E of observable } v \approx \text{some MeV}$ 

v created as a  $v_e$  and approximately as heavier (V>O) propagation eigenstates  $v_2^m (r \approx 0)$ 

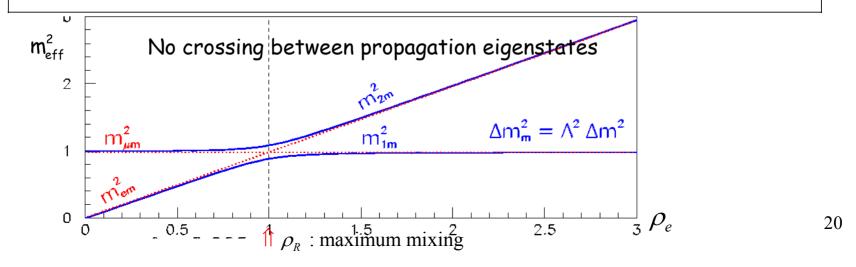
- propagation eigenstate to be evaluated at each r
- $\bullet$  adiabatic regime: slow  $\rho_{e}\left(r\right)variation$

propagation state remains r-dependant eigenstate flavour composition vary with  $\rho_e(r)$ 

• at  $\rho_e = 0$  : eigenstate propagation to Earth  $v_2 = v_e \sin(\theta) + v_u \cos(\theta)$ 

at Earth :  $P(v_e \rightarrow v_e) = sin^2(\theta)$ 

the smaller the mixing in vacuum, the smaller the survival probability





Experiment design : max  $P_{osc}$  if  $\langle E \rangle / L = 2.54 \times \Delta m^2 / \pi$ 

Disappearance evidence:

measured flux of a given flavour smaller than expected from calculation or measurement at small distance

Pros: small contamination by other flavours unimportant flux measurable by NC interactions : no threshold Cons:effect must be large  $N_{obs} < N_{exp} - 4 \sqrt{N_{exp}}$ 

Appearance evidence:

measured flux of given flavour is larger than expected

Pros: one event is enough if the flavour is absent at the source Cons: very small background known with high precision flavour identified by CC interaction :  $E_{thresold}$  for  $\mu \gg$  nuclear physics energy domain for  $\tau : \sim 4$  GeV with slowly growing cross-section

Natural sources are what they are.

Reactor : L optimised, becomes difficult for Long Base Line (flux, location). Accelerator : mainly  $v_{\mu}$  beams with contamination in  $v_e \sim 1\%$ ,

<E>/L optimised, becomes difficult for Long Base Line.Very small event rates (except near human made sources)  $\Rightarrow$  buried detectors

The experimental evidences in	one slide	
• $v_e$ flux measured at Earth < 1/2 prediction of Standard Solar Model • total v flux measured agrees with prediction of SSM $\leftarrow$ new SNO result $\Rightarrow v_e \rightarrow v_x$ combination of $v_\mu - v_\tau$ • preferred region : $10^{-5}eV^2 < \Delta m^2 < 10^{-4}eV^2$ large mixing $0.3 < \tan^2\theta < 0.6$ MSW effect in Sun		
** Atmospheric neutrinos • $v_e$ flux measured at Earth agrees with models of cosmic rays interactions • $v_\mu$ flux measured at Earth $\approx 1/2$ of models predictions with strong zenithal dependence $\Rightarrow v_\mu \rightarrow v_\tau$ (prefered) or $v_s$ • preferred region : $1.5 \times 10^{-3} eV^2 < \Delta m^2 < 4 \times 10^{-3} eV^2$ maximun mixing $\sin^2 2\theta \approx 1$ "vacuum" oscillation with small Earth matter effects		
Accelerator • preferred region : larg	riments, statistically not contradictory	

## The main recent exclusions in four slides

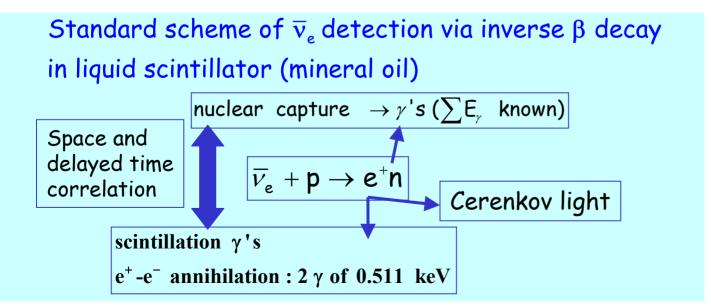
## Chooz (& Palo Verde) long base line reactor experiments

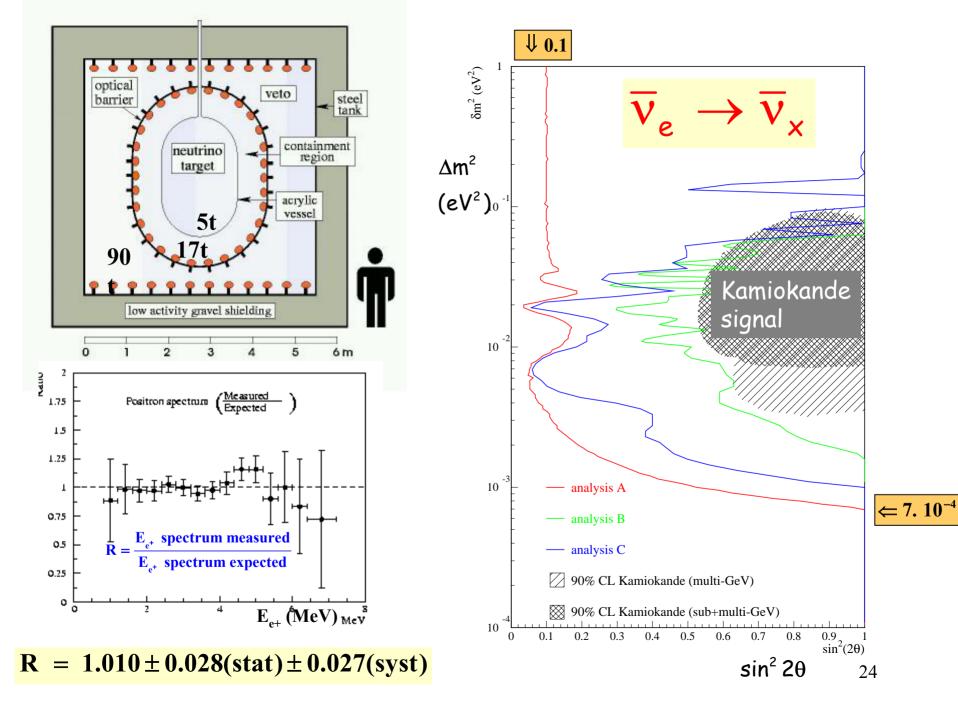
 $\overline{v}_e$ disappearance at  $\Delta m^2 > 10^{-3} eV^2$ 

motivation :  $\Delta m^2$  of atmospheric neutrinos signal

limit on  $\nu_{\mu} \rightarrow \nu_{e}$  contribution to  $\nu_{\mu}$  disappearance

 $\langle E \rangle \approx 3 \text{ MeV} \implies L \approx 1 \text{ km} : 2 \text{ reactors (8.5GW)} @ L= 998, 1114m$ overburden under 300 m water equivalent : 0.4 cosmic muon m<sup>-2</sup> s<sup>-1</sup> Gd-doped liquid scintillator vessel + PM tubes

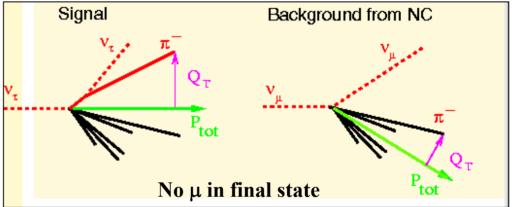




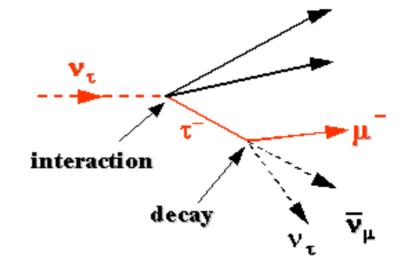
## CHORUS & NOMAD at CERN

 $v_{\mu} \rightarrow v_{\tau}$  (and  $v_e \rightarrow v_{\tau}$ ) in high E  $v_{\mu}(v_e)$  accelerator beams at  $\Delta m^2 > 1 \text{ eV}^2$ motivation: masses of cosmological relevance for hot dark matter no intrinsic  $v_{\tau}$  background

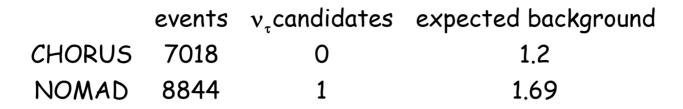
NOMAD: kinematics of  $v_{\mu,e}$  NC event and  $v_{\tau}$  CC event

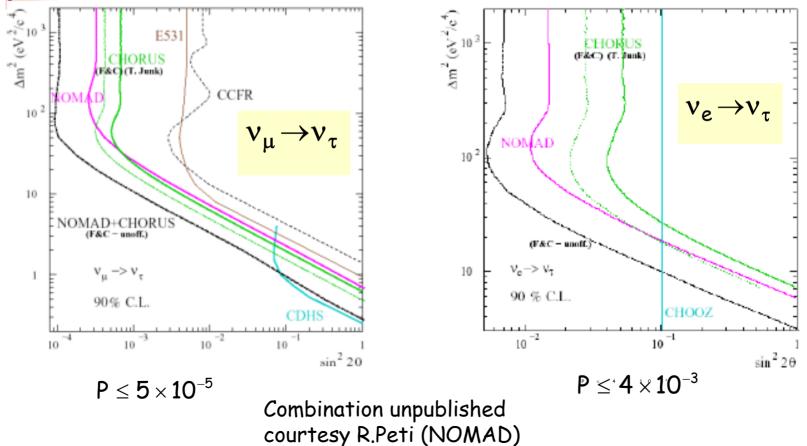


CHORUS: Observe  $\tau$  track ( $\beta\gamma c\tau \approx 1 \text{ mm}$ ) in high resolution 770 kg nuclear emulsion target



#### Combined CHORUS&NOMAD exclusion contours





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## Solar neutrinos experiments

- 1962: Ray Davis builds first large underground detector to observe neutrinos from the Sun
- 1968: First indication of solar neutrinos deficit problem compare measured flux with predictions of newly born Solar Standard Model from John Bachall

Until 2002:

- •strong deficit confirmed by all experiments.
- different deficits in energy domains populated by neutrinos emitted in very correlated reactions in solar nuclear fusion chain, unless SSM is totally wrong
- •40 years of SSM upgrade and tuning : many successes

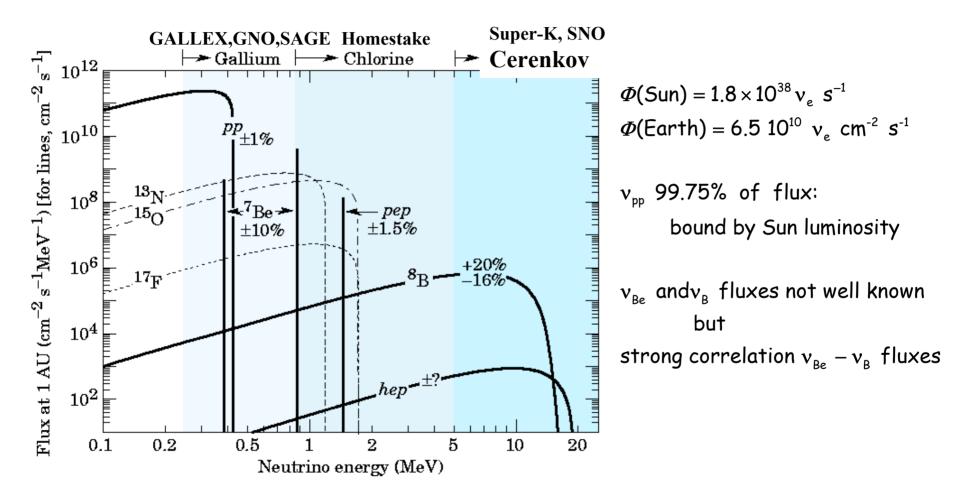
helio-seismography to better than 1%

Natural explanation:

-solar  $\bar{v}_e$  oscillate to  $v_x$  in the Sun (MSW) or in vacuum between Sun and Earth

## Solar neutrinos spectrum Detectors / experiments thresholds

4  ${}^{1}\text{H} \rightarrow {}^{4}\text{He} + 2$   $e^{+} + 2$   $v_{e} + 25$  MeV



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Low threshold radio-chemical counting experiments

 $v_e + (A,Z) \rightarrow (A,Z+1) + e^-$  with (A,Z+1) unstable, lifetime of some weks lowest possible threshold largest possible target mass : tens of tons to 100 tons (GNO) event rate  $\approx$  1 event/day buried under mountains or in deep mines : reduce cosmic muons to some tens / day extract 10-20 atoms of (A,Z+1) every some weeks and count the decays extraction efficiency > 99% calibrated with v source (<sup>51</sup>Cr, 1.8 MCi !!!)

The glorious Homestake (1968-99) 31 years of datataking, 2000 interactions)

$$\begin{array}{l} v_{e} + {}^{37}Cl \rightarrow {}^{37}Ar + e^{-} & \tau_{{}^{37}Ar} & \approx 50 \text{ days} \\ E_{vBe} = 0.861 \text{ MeV} > E_{thresh} & = 0.813 \text{ MeV} > E_{vpp}^{max} = 0.423 \text{ MeV} \\ \text{Prime importance given the } v_{Pa} - v_{P} \text{ strong correlation} \end{array}$$

Gallium experiments : GALLEX, GNO (under Gran Sasso) , SAGE (Baksan mine) 1992-97 1998- 1991-

$$v_e + {}^{71}Ga \rightarrow {}^{71}Ge + e^- \qquad \tau_{{}^{71}Ge} \approx 24 \text{ days}$$
  
 $E_{thresh} = 0.233 \text{ MeV} < E_{vpp}^{max} = 0.423 \text{ MeV}$   
Prime inportance to measure the bulk of the flux

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#### Real-time water Cerenkov experiments : Kamiokande II & Super-K 1987-95 1996-

Cerenkov light from elastic scattering  $\nu + e^- \rightarrow \nu + e^-$ 

 $\begin{array}{ccc} \mathcal{CC} \& \mathsf{NC} : & \nu_e + e^- \to \nu_e + e^- & 86\% \\ \mathsf{NC} : & \nu_{\mu\tau} + e^- \to \nu_{\mu\tau} + e^- & 14\% \end{array}$ 

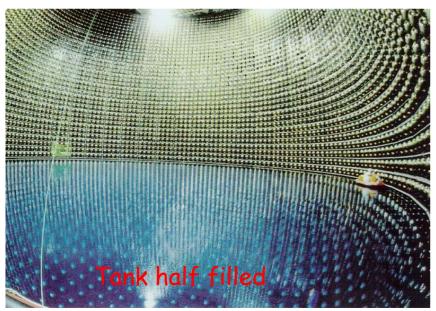
largest possible target mass : 50 (4) ktons of highly purified water seen by 11 200 (950) PM tubes arrays event rate ≈ 0.5 event/(kton day) deeply buried in Mozumi mine under Mt Ikenoyama near Kamioka (2700 m.w.e)

- Pros: correlation between e<sup>-</sup> track and Sun directions : background control precise timing of PMT light signal arrival
  - electron spectrum measurement : check for distortion

total light yield collected by the PMT

- real-time : seasonal Sun-Earth distance and day-night Earth matter effects
- Cons: high energy effective threshold 5 (7) MeV : see only  $\nu_{_B}$ 
  - November 12, 2001: 60% PMT imploded in a chain reaction...





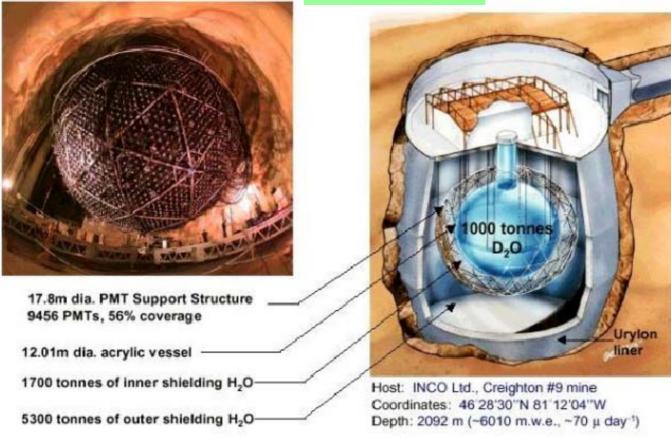


Real-time heavy-water Cerenkov experiment : Sudbury Neutrino Observatory (SNO) (2001-2003)

1 kton of highly purified heavy water seen by ~ 9 500 PM tubes
 7 kton light water shielding and veto
 deeply buried at - 1300 m in Creighton mine, Sudbury : 70 cosmic μ / day

??? Why heavy water ??? Elastic scattering (ES)  $v + e^- \rightarrow v + e^$ directionnal sensitivity like light water  $CC \& NC : v_e + e^- \rightarrow v_e + e^-$ 86% NC:  $v_{\mu\tau} + e^- \rightarrow v_{\mu\tau} + e^-$  14% some sensitivity  $E_{thesh} = 1.4 \text{ MeV}$ Charged current (CC)  $v_e + d \rightarrow p + p + e^{-}$  $E_v \simeq E_e \quad \sigma_F \approx 10 - 15\%$ Neutral current (NC)  $v + d \rightarrow p + n + v$ measures  $v_{R}$  total flux

#### SNO detector



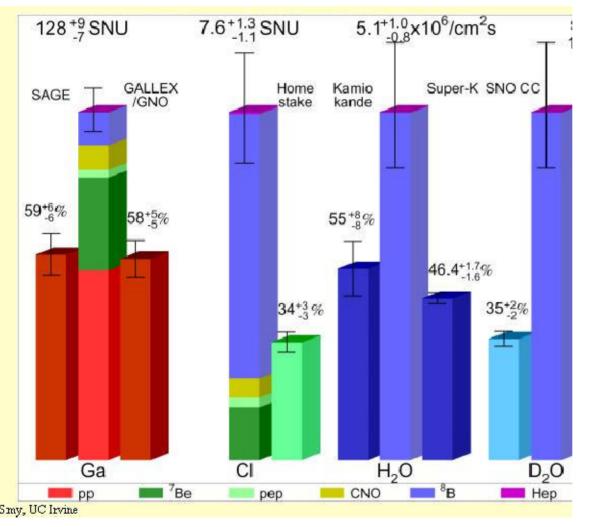
Nucl. Inst. and Meth. A449, p172 (2000)

Neutron detection :  $\varepsilon$  ~14%

- capture n + d  $\rightarrow$  t + 6.5 MeV  $\gamma$ -ray

-  $\gamma$ -ray conversion to  $e^+$  -  $e^-$  pairs: Cerenkov signal

## Measured event rates v.z.SSM predictions as for 2001 ... i.e. assuming SNO is a light water Cerenkov experiment

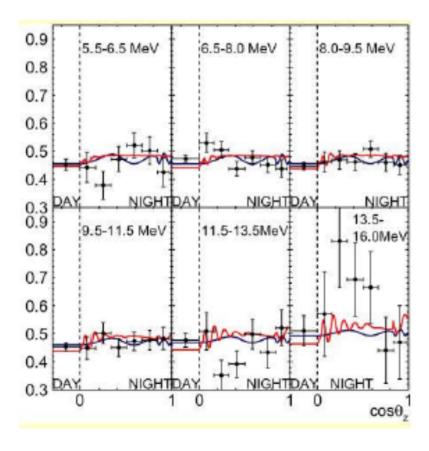


But strong  $\Phi_{\nu_{Be}}^{pred} - \Phi_{\nu_{B}}^{pred}$  correlation

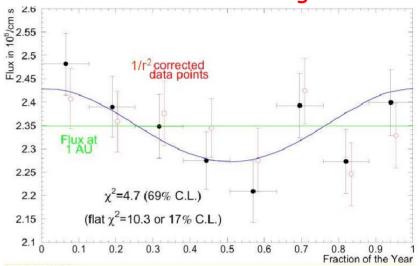
> 30 years of Solar models by many authors.
No, even fancy, astrophysical explanation
> 30 years of experiments using four techniques.
No instrumental explanation.

#### Other main Super-K pre-SNO 2002 observations

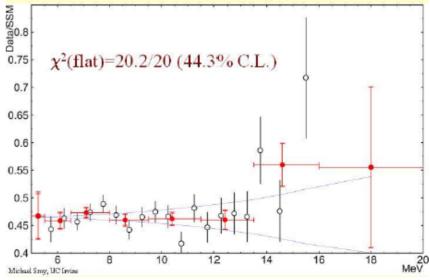
#### No zenith angle variation Earth matter effect



## No seasonal variation: length in vacuum

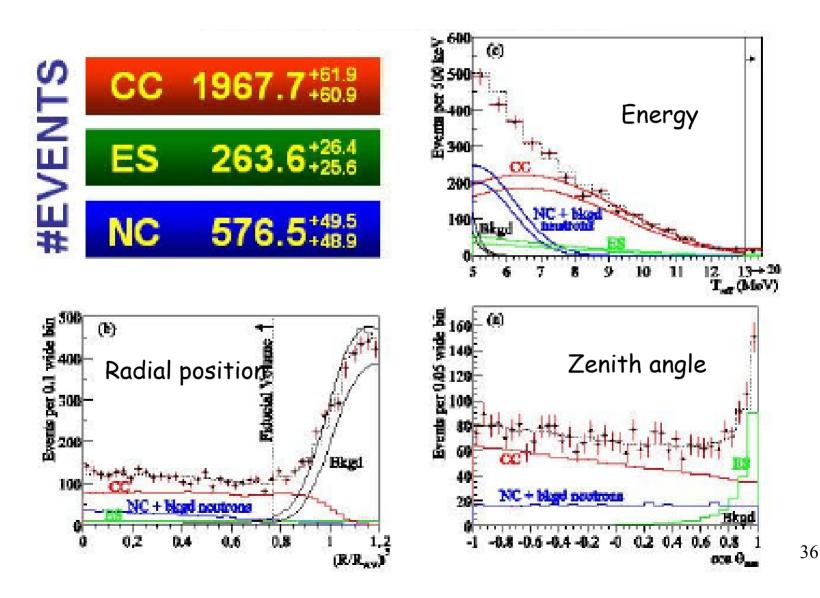


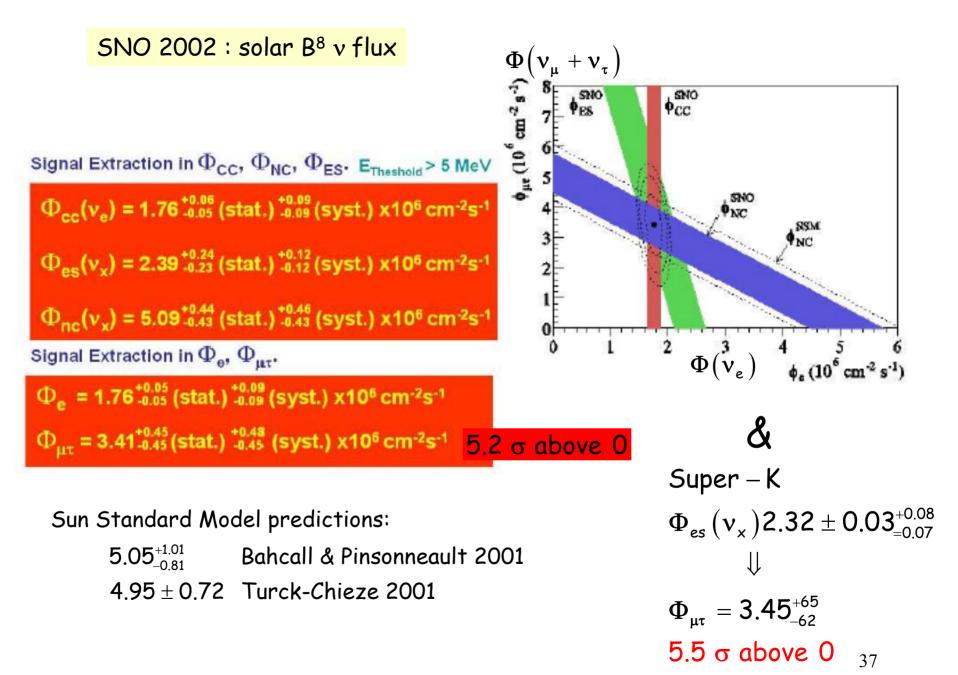
## No $v_{\rm B}$ spectral distortion : E dependence of oscillation prob



#### And then, came SNO 2002 ...

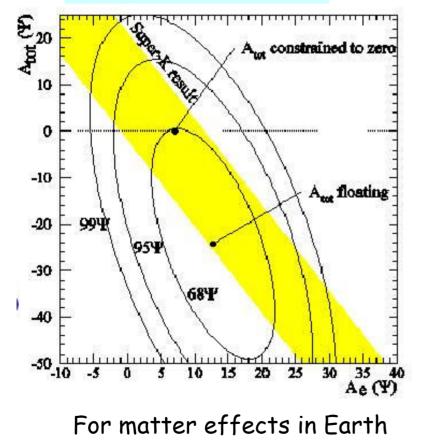
Compute 3 sample sizes by fitting MC spectra to 3 experimental spectra





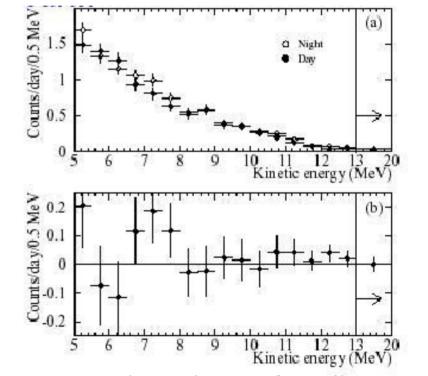
#### SNO 2002 : additional data

Day/night asymmetry (%) for  $v_e + v_\mu + v_\tau : A_{tot}$ versus  $v_e : A_e$ 



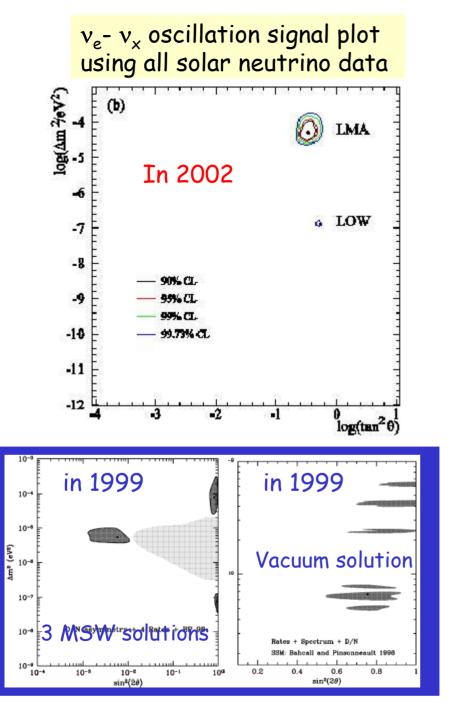
Day/night Energy spectra

Day:  $9.23 \pm 0.27$  events/day Night:  $9.79 \pm 0.24$  events/day



For energy dependence of oscillation prob

38



Total v flux measured by SNO agrees with total  $v_e$  flux predicted by SSM.

Astrophysical explanation ruled out.

Something happens to  $v_e$  between Sun core and Earth.

Neutrino oscillation is less extravagant explanation

Using all solar data and model predictions constraints the parameter space to a small region.

Best fit  $\Delta m^2 = 5.0 \times 10^{-5} \text{ eV}^2$   $\tan^2(\theta) = 0.34$ 

Yet a second solution not excluded at 99.5%

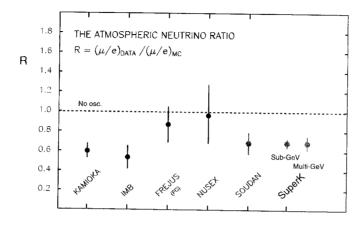
#### The atmospheric neutrino problem

- 1965 : experiments CWI and KJF both record first interactions of neutrinos produced in high atmosphere by cosmic rays.
- •1986 : the beginning of the problem: Irvine-Michigan-Brookhaven experiment

Crude approximation: 
$$\frac{(\Phi_{\overline{\nu}_{u}} + \Phi_{\nu_{u}})}{(\Phi_{\overline{\nu}_{e}} + \Phi_{\nu_{e}})} \approx 2 \text{ because } \pi^{*} \rightarrow \mu^{+} + \nu_{\mu}$$
$$\mu^{+} \rightarrow e^{+} + \nu_{e} + \overline{\nu}_{\mu}$$
$$\mu^{+} \rightarrow e^{+} + \nu_{e} + \overline{\nu}_{\mu}$$
$$R^{+} \rightarrow e^{+} + \nu_{e} + \overline{\nu}_{\mu}$$
$$R^{+} = \frac{(\Phi_{\overline{\nu}_{u}} + \Phi_{\nu_{u}})}{(\Phi_{\overline{\nu}_{e}} + \Phi_{\nu_{e}})}|_{measured}}$$

IMB reports enough  $v_e$  and 25% too few  $v_{\mu}$ 

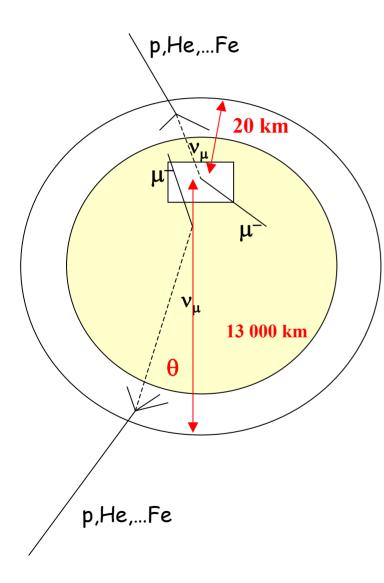
 Lack of events with muons confirmed by all statistically significant (~10) experiments using large palette of technologies



•During recent years, detailed measurements by SOUDAN-II large calorimeter in Tower-Soudan mine, Minnesota MACRO 5.3 kt of layers of passive crushed planes of streamer tubes and liquid scintillator under Gran Sasso

- By far most complete and precise results provided by Super-Kamiokande

#### The magic free of charge atmospheric neutrinos beam line

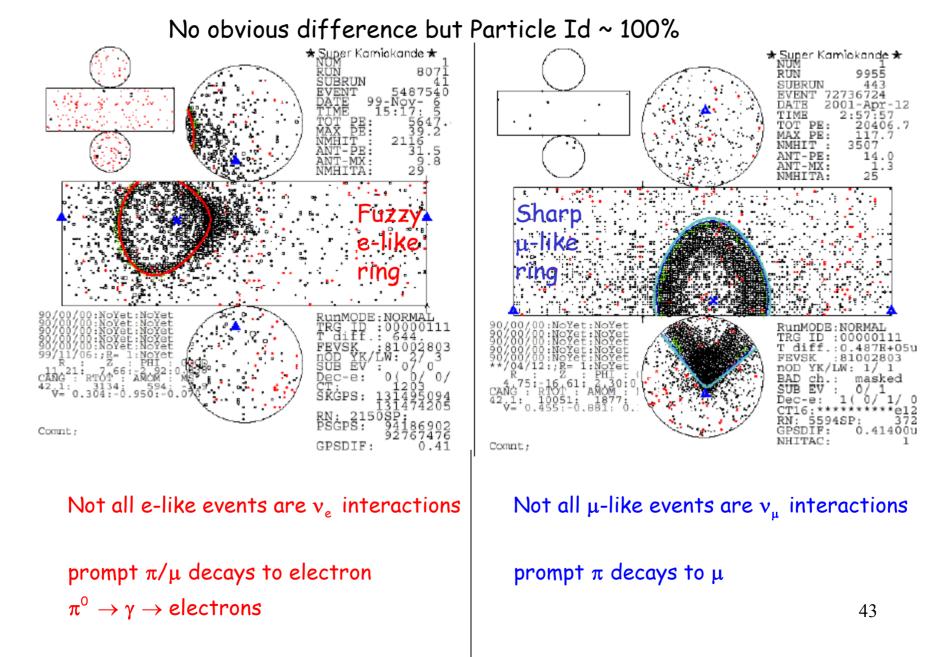


- 20 < L < 13 000 km
- Earth to produce matter effects and help solving ambiguities
- Within small computable corrections due to geomagnetic effects: Up/Down flux symmetry at neutrino emission

 $\Phi(\theta) = \Phi(\pi - \theta)$   $\theta$  zenith angle

 But beam composition and spectra relies on models

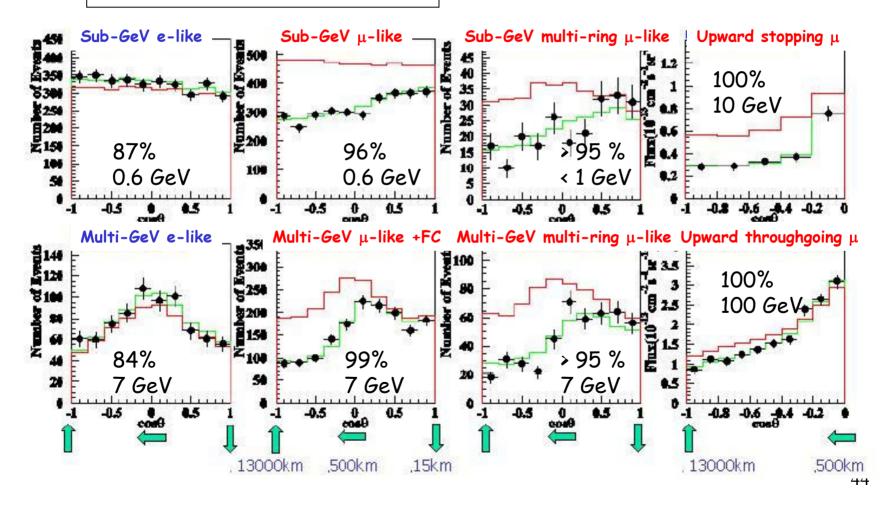
#### The Super-K events topology based Cerenkov ring :



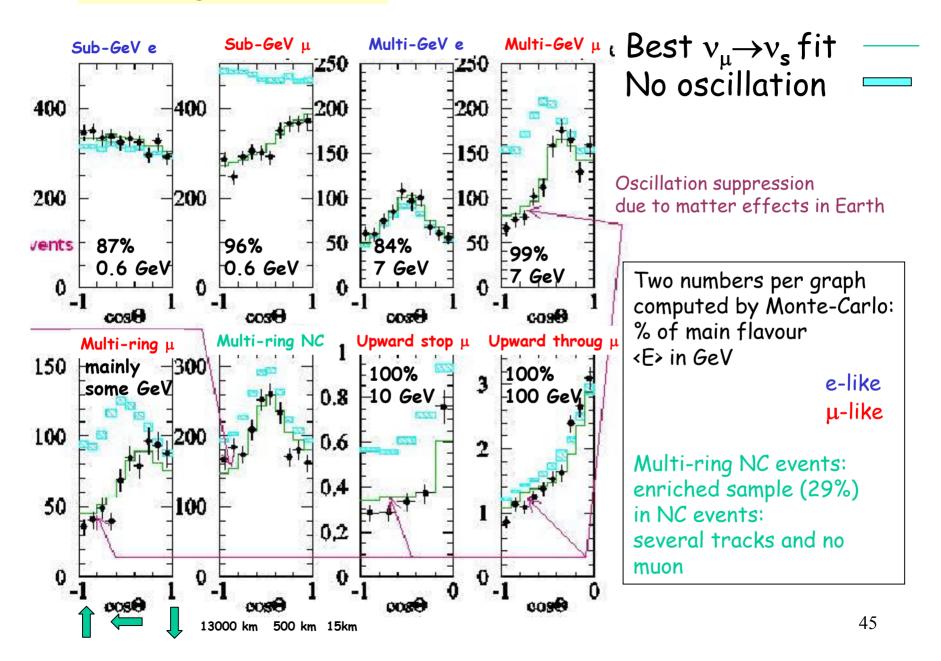
#### Zenith angle distributions

Two numbers per graph computed by Monte-Carlo: e-like % of main flavour  $\mu$ -like <E> in GeV

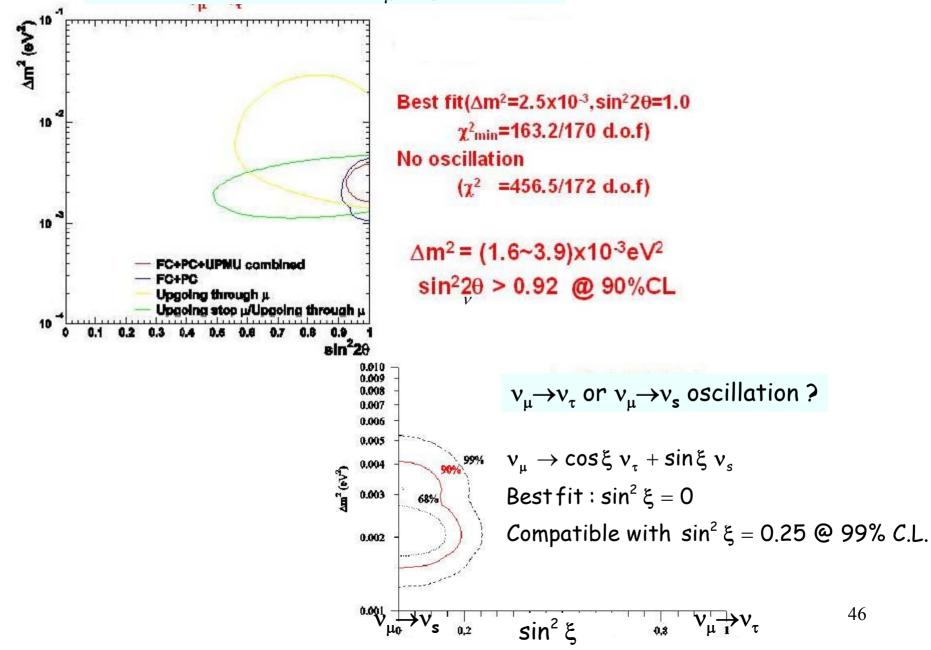
## $= Best v_{\mu} \rightarrow v_{\tau} fit$ = No oscillation



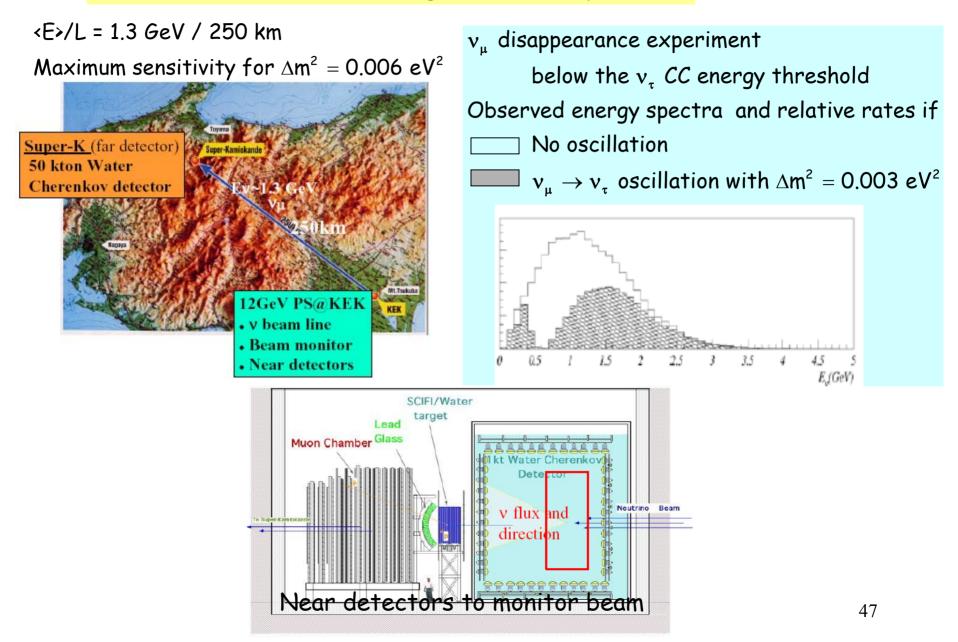
#### Zenith angle distributions







#### K2K : the KEK to Kamioka Long Base Line experiment



#### E spectrum shape

- in agreement with no oscillation :
  - 15% probability
- statistic small

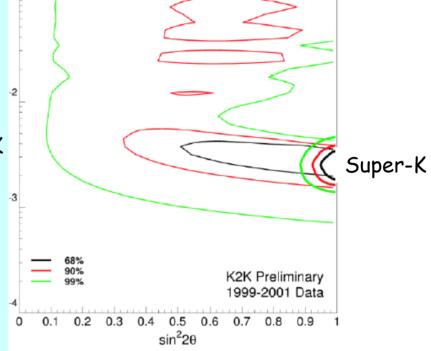
#### Event rate

- 56 events observed
- 80 events expected if no oscillation
- 52 events expected if oscillation a la SuperK
- marginally in agreement with
   no oscillation : 1% probability

Best fit : 
$$\Delta m^2 = 2.8 \times 10^{-3} eV^2$$
  
sin<sup>2</sup> 2  $\theta = 1.0$ 

Compatible with Super-K signal

#### K2K preliminary results



#### Neutrino oscillation at accelerator beam dumps Search for $\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$ oscillation at rather large $\Delta m^{2} > \sim 0.1 eV^{2}$ shielding p 800 Mev π ν target v detector v from decays/captures at rest <l>> ~ 15-30 m $/ \mu (\pi^+ \rightarrow \mu^+ \nu_\mu)$ • $\pi^+, \mu^+$ stopped and decays at rest 10-10 • only $v_{\mu}, \overline{v}_{\mu}, v_{e}$ produced (below 53 MeV) $\overline{\mathbf{V}}_{\mu}(\mu^{+} \rightarrow e^{+} \mathbf{v}_{e} \overline{\mathbf{v}}_{\mu})$ 10-11

10-12

10-13

10 14

10-15

ν

 $V_e(\mu^+ \rightarrow e^+ v_e \overline{v_{\mu}})$ 

 $\overline{\mathcal{V}_{e}}\left(\mu^{-} \rightarrow e^{-}\overline{\mathcal{V}_{e}}\mathcal{V}_{\mu}\right) \xrightarrow{\mathcal{V}_{\mu}}\left(\mu^{-} \rightarrow e^{-}\overline{\mathcal{V}_{e}}\mathcal{V}_{\mu}\right)$ 

30

40

20

 $\boldsymbol{V}_{\boldsymbol{\mu}}(\boldsymbol{\mu}^{-}N \rightarrow \boldsymbol{v}_{\boldsymbol{\mu}}\mathbf{X})$ 

 $V_{e}(\pi^{+} \rightarrow e^{+}v_{e})$ 

90

49

100

70

50 60

53MeV

Detector: vessel filled liquid scintillator doped with neutrophage arrays of PMTs Signal : inverse  $\beta$  decay  $\overline{v}_e + p \rightarrow e^+n$ remember CHOOZ

•Almost no  $\bar{v}_e$  (<10<sup>-3</sup>)

LSND experiment @ LANSCE, Los Alamos

167 tons low concentration liquid scintillator : sees Cerenkov light from e<sup>+</sup> checks direction correlation to beam

<L> = 29 m Data taken till 1999

32.7  $\pm$  9.2  $\overline{v}_e$  events (3.5  $\sigma$ ) above 50.3 expected background within statistics : all spectra compatible with expectation from oscillation signal taken as signature of  $\overline{v}_{\mu} - \overline{v}_{e}$  oscillation

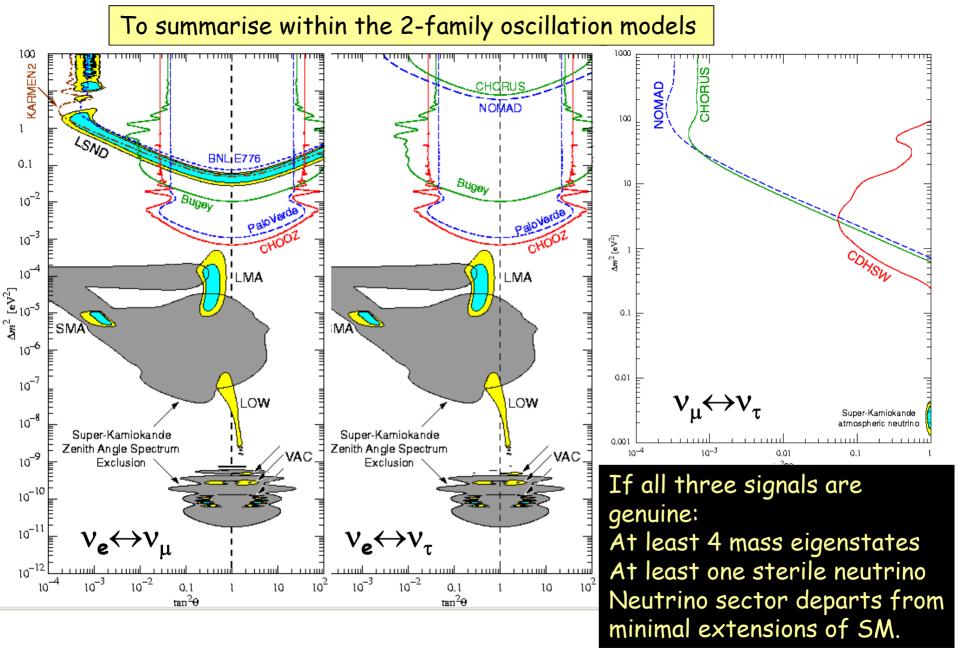
Karmen-II experiment @ ISIS, Rutherford Lab

56 tons liquid scintillator Very sharp pulsed beam structure : events in 10  $\mu$ s gate very low & measurable background <L> = 15 m Data taken till 2001 11  $\overline{v}_e$  events observed 12.29  $\pm$  0.63 expected background within statistics : all spectra agree with background expectation no signature of  $\overline{v}_{\mu} - \overline{v}_e$  oscillation

#### KARMEN-II exclusion and LSND signal regions

#### $sin^2 2\theta < 1.3 \cdot 10^{-3}$ **KARMEN2** sensitivity $= 1.7 \cdot 10^{-3}$ (a) large $\Delta m^2$ Joined likelihood $\Delta m^2 \left[ eV^2 \right]$ BUGEY InL [arbitrary units] CCFR 12.4 10 10 NOMAD **BNL E776** 74 25 1 KARMEN2 $\log[\sin^2(2\theta)]$ Iog[Am<sup>2</sup>] Feb.1997-Mar.2000 0 1 -3 -35 -2 -1 (90% CL) LSND 1993-98 max(lhd)-2.3/4.6 lnL-units 10 The combined result is CHOOZ-NOT the intersection of 10 $10^{-3}$ $10^{-2}$ the two plots. $10^{-1}$ $\sin^2 2\Theta^1$

Who is right, who is wrong ? ??????? Don't know ! So far compatible !



## The home work for the next 20 years to understand the neutrinos sectors ?

1 - Three or more neutrinos ?
 Fixing the mixing matrix and the mass differences forthcoming LBL oscillation experiments

2 - Fixing the zero of the mass scale and Dirac v.z. Majorana neutrinos forthcoming 3H and double-beta decay

3 - Is CP conservation violated as in the quark sector? the most difficult, the very long term

### 1 - Fixing the mixing matrix and the mass differences

The simplest scheme

Ignore two additionnal phases if Majorana neutrinos : cannot be resolved by oscillation Assume LSND signal is fake : 3 neutrinos flavours, no  $v_s$ 

as CKM for quarks

In case of mass hierarchy:  $m_3 \gg m1 \approx m2$  or  $m_3 \ll m1 \approx m2$ ,  $\Delta m^2 = \left| m_3^2 - m_2^2 \right| \approx \left| m_3^2 - m_1^2 \right| \qquad \delta m^2 = \left| m_2^2 - m_1^2 \right|$ it was shown that the oscillation probability simplifies:  $P(v_1 \rightarrow v_{1'\neq 1}) \approx \sin^2 2\theta_{11'}^{eff} \sin^2 (1.27\Delta m^2 L/E)$ with  $\sin^2 2\theta_{11'}^{eff} = 4 \left| U_{13}^* U_{1'3} \right|^2$  $P(v_1 \rightarrow v_1) = 1 - 4 \left| U_{13} \right|^2 (1 - \left| U_{13} \right|^2) \sin^2 (1.27\Delta m^2 L/E)$  Assuming also CP conservation,  $\delta$ =0, it follows for the atmospheric neutrinos :

and thus

$$\begin{split} &\mathsf{P}(v_{e} \rightarrow v_{x}) = \sin^{2} 2\theta_{13} \sin^{2} \left(1.27 \Delta m_{atm}^{2} L/E\right) \\ &\mathsf{P}(v_{\mu} \rightarrow v_{x}) = \sin^{2} 2\theta_{atm} \sin^{2} \left(1.27 \Delta m_{atm}^{2} L/E\right) \\ &\mathsf{P}(v_{\tau} \rightarrow v_{x}) = (\sin^{2} 2\theta_{atm} + \sin^{2} 2\theta_{13} (\cos^{2} 2\theta_{23} - \sin^{2} 2\theta_{23})) \sin^{2} \left(1.27 \Delta m_{atm}^{2} L/E\right) \end{split}$$

and for the solar neutrinos

$$\begin{split} \mathsf{P}(v_{e} \rightarrow v_{e}) &= \cos^{4} 2\theta_{13} \left( 1 - \sin^{2} 2\theta_{sol} \sin^{2} (1.27 \Delta m_{sol}^{2} L/E) \right) \\ & \text{with } \sin \theta_{sol} = \sin \theta_{12} \end{split}$$

What we know on the masses and the mixing angles:  $\Delta m_{atm}^2 \approx 2.5 \times 10^{-3} \text{ eV}^2 \gg \delta m_{sol}^2 \approx 5 \times 10^{-5} \text{ eV}^2$ Reactor (CHOOZ) :  $\sin^2 \theta_{ex} < 0.03 \text{ at } \Delta m_{atm}^2 \Rightarrow \theta_{13} \text{ is small} \Rightarrow c_{13} \sim 1$ Atmospheric : maximum mixing  $\theta_{atm} \approx \frac{\pi}{4}$ ;  $\sin \theta_{atm} \approx \cos \theta_{atm} \approx 1/\sqrt{2}$ Solar : large maxing :  $\sin^2 \theta_{sol} \approx \sin^2 \theta_{12} \approx 0.25$ 

Including again a possible non-zero CP phase  $\delta$ 

$$\begin{bmatrix} v_{1} & v_{2} & v_{3} \\ v_{e} \begin{bmatrix} c_{sol} & s_{sol} & s_{13} e^{-i\delta} \\ -s_{sol}/\sqrt{2} & c_{sol}/\sqrt{2} & 1/\sqrt{2} \\ v_{\tau} \begin{bmatrix} s_{sol}/\sqrt{2} & c_{sol}/\sqrt{2} & 1/\sqrt{2} \\ s_{sol}/\sqrt{2} & -c_{sol}/\sqrt{2} & 1/\sqrt{2} \end{bmatrix}$$

 $\theta_{sol}$  is large and its measurement will be improved. Given that  $s_{13}$  is small, if  $\delta$  is also small,  $s_{13}$  e<sup>-i\delta</sup> will be difficult to measure.

#### Oscillation : the immediate and the approved medium term future (1)

Solar signal v <sub>e</sub> –v <sub>x</sub>	
SNO phase 2 - Add NaCl in $D_2O$ : increase neutron capture	Data taking June 2001
Increase NC sensitivity	June 2001
SNO phase 3 - insert He <sup>3</sup> counters : increase neutron capture	2003
KamLAND conversion of Kamioka-II: 1 kton liquid scintillator inside water-Cerenkov tank Watch neutrinos from Japanese nuclear power plants Low energy (some MeV) Long Base Line ( <l> = 150 km)</l>	Data taking Fall 2001
BOREXINO in Gran Sasso Laboratory: 300 tons liquid scintillator inside water tank Low energy threshold below 0.862 MeV v <sub>Be7</sub> line See both v <sub>Be7 an</sub> v <sub>B8</sub>	Should have started Fall 2002 Incident

Oscillation: the immediate and the approved medium term future (2)

Atmospheric signal -  $v_{\mu} - v_{\tau}$ Accelerator Long Base Line Experiments (730km) K2K resume data taking after repair of Super-K detector 2003 disappearance experiment OPERA - CERN/CNGS beam  $v_{\mu}$  to Gran Sasso Laboratory 2006 detect the prompt  $\tau$  track in 2 kton Pb target instrumented with nuclear emulsion films MINOS - NUMI/FermiLab  $v_{\mu}$  beam to Soudan Mine 2005 5.4 kton iron/scintillator magnetised calorimeter Measure NC/CC rates and CC energy spectrum LSND signal -  $v_{\mu} - v_{e}$ Accelerator Short Base Line Experiment MiniBOONE at FermiLab Data taling October 2002 770 tons mineral oil : scintillation + Cerenkov light L/(E) = 0.5 km / 1 GeVsensitivity in parameter space largely covers LSND signal

Oscillation experiment program will tell us by ~2008 :

if 3 or  $\geq$  4 neutrino flavours

if not, the physics will become maybe even more interesting but the picture much more complicated precise measurements of  $\Delta m_{atm}^2$ ,  $\Delta m_{sol}^2$ : the mass scale, precise measurements of a fair part of the mixing matrix  $\theta_{atm}$ ,  $\theta_{sol}$ confirm if  $v_{\mu} \rightarrow v_{\tau}$ the oscillation pattern in L/E is observed

#### 2 - The direct mass and the double-beta decay

Where is the zero on the mass scale ?
KATRIN project in Bonn on <sup>3</sup>H β decay to start in 2006 :
m<sub>ve</sub> down to sub-eV
Dirac or Majorana neutrinos ?
Ovββ decay
About 15 experiments aim at m<sub>ve</sub> down to tens keV in 10 years
Both very difficult : sensitivity, background, resolution, long term stability, etc...

#### 3 - Is CP conservation violated as in the quark sector?

- if CPT conserved:  $P(\overline{v}_{\ell} \to \overline{v}_{\ell'}; U) = P(v_{\ell} \to v_{\ell'}; U^*)$
- if CP violated:  $P(\overline{\nu}_{\ell} \to \overline{\nu}_{\ell'}) \neq P(\nu_{\ell} \to \nu_{\ell'})$

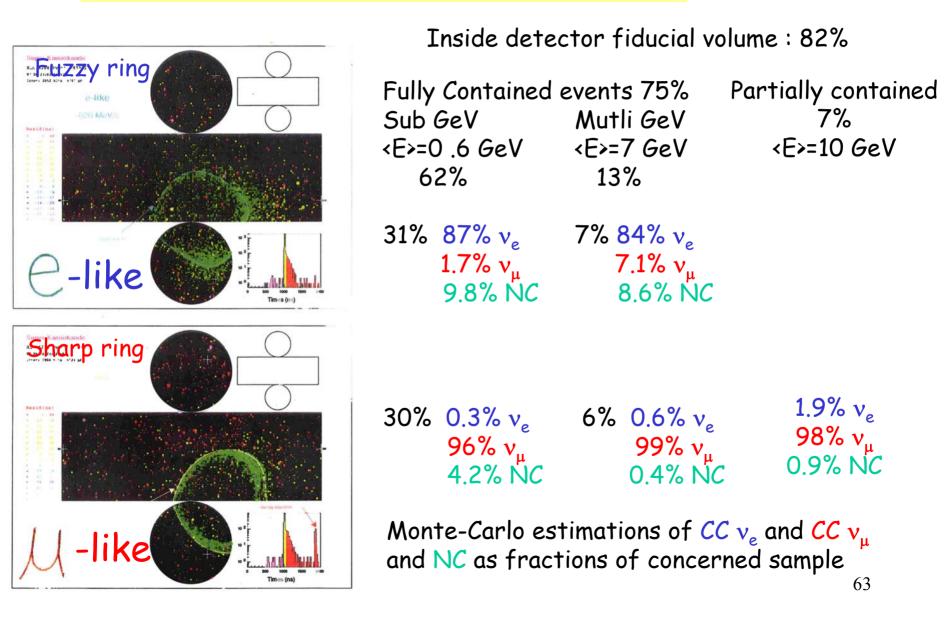
CP violation comes as  $S_{13} e^{-i\delta}$  in the mixing matrix with  $S_{13} < 0.1$ 

Very long base ling CP violated in the lepton sector as in the quark sector? Very intense neutrino beams (super-beams, neutrino factories, β-decay beams), Very massive detectors

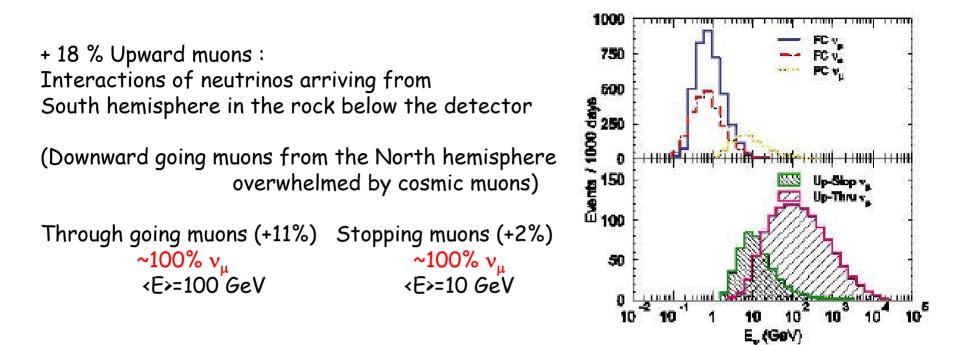
The very long term program, well in the next decade

# Extras

The Super-K jargon : topology and energy classes (1) Events with a single Cerenkov ring :



The Super-K jargon : topology and energy classes (2) Events with single Cerenkov ring :



The Super-K jargon : topology and energy classes Multi-Cerenkov rings

Additional sample (35% of single-ring) 85% e-like enriched in NC events (29% compared to 6%) 15%  $\mu$ -like CC  $\nu_{\mu}$